

HUNTON &
WILLIAMS

1751 PINNACLE DRIVE
SUITE 1700
MCLEAN, VIRGINIA 22102

TEL 703 • 714 • 7400
FAX 703 • 714 • 7410

November 30, 2001

JAMES R. MINER
DIRECT DIAL: 703-714-7449
EMAIL: JMINER@HUNTON.COM

FILE NO: 59589.000033

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: **59589.000033**

First Named Inventor: **Eric J. KAUFFMAN, et al.**

Title: **SYSTEM AND METHOD FOR PROCESSING OPERATION DATA OBTAINED
FROM TURBINE OPERATIONS**

TO: **BOX PATENT APPLICATION**
Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☒ Fee Transmittal Form (original and duplicate)
2. ☐ Small Entity Status Claimed: ☐ Independent Inventor
☐ Small Business Concern
☐ Non-Profit Organization
☐ Statement Enclosed
☐ Statement Filed in Prior Application; Status Still Proper and Desired
3. ☒ Specification - Total Pages: 40 (Including Abstract)

BOX PATENT APPLICATION

November 30, 2001

Page 2

CLAIMS AS FILED						
	Claims Filed	Basic Fee Claims	Extra	Rate		Amount
				Large Entity	Small Entity	
Total Claims	30	20	10	\$ 18.00	\$ 9.00	\$ 180.00
Independent Claims	6	3	3	\$ 84.00	\$ 42.00	\$ 252.00
First Presentation of Multiple Dependent Claims				\$ 280.00	\$ 140.00	\$ 0.00
BASIC FEE				\$ 740.00	\$ 370.00	\$ 740.00
ASSIGNMENT FEE						\$ 40.00
TOTAL FILING FEE						\$ 1,212.00

4. ☒ Drawings - Total Sheets: 9 (Fig(s). 1-9)
5. Oath or Declaration - Total Pages: 4
- a. ☒ Newly executed (original or copy)
☐ New (unexecuted)
- b. ☐ Copy from a prior application
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(s):**
Signed statement attached deleting inventor(s) named in prior application.
6. ☐ Application Data Sheet
7. ☐ CD-ROM or CD-R in duplicate, large table or Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)

FOOTER "06/95/50

BOX PATENT APPLICATION

November 30, 2001

Page 3

- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (two copies); or
- ii. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statements verifying identity of above copies
9. ☒ Assignment and Assignment Recordation Form
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement with PTO-1449 and References
- ☐ Copies of Information Disclosure Statement Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcards (one Green & one Yellow)
15. ☐ Foreign Priority is Claimed as Follows:
- _____
- _____
- ☐ If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith
16. ☐ Nonpublication Request under 35 U.S.C. § 1222(b)(2)(B)(i). Applicant must attach Form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

09967001 5529550

BOX PATENT APPLICATION

November 30, 2001

Page 4

18. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of
Prior Application No.: _____ filed _____
- ☐ Incorporation By Reference (useable if Box 5b is marked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application papers.
- ☐ Complete Application Based on Provisional Application No.: _____
filed _____
19. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is as follows:
- ☐ The power of attorney is to:
- ☐ Please remove as power of attorney:
- ☐ Please add as power of attorney:
20. Please address all correspondence to:
- ☒ CUSTOMER NUMBER

21967

- ☒ **Kevin T. Duncan**
Intellectual Property Department
Hunton & Williams
1900 K Street, N.W.
Suite 1200
Washington, DC 20006-1109
21. ☒ A check in the amount of \$ 1,212.00 is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 50-0206.**

0996759-13004

BOX PATENT APPLICATION

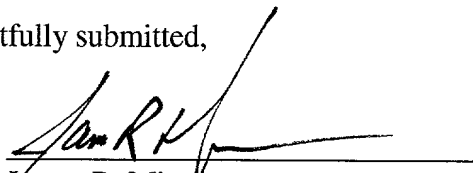
November 30, 2001

Page 5

- ☒ The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to **Deposit Account No. 50-0206**.

Respectfully submitted,

By:


James R. Miner
Registration No. 40,444

JRM/mlr
Enclosures

0996798-1300
T00E T 5529660